

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571



INVESTMENT REPORT
October 1, 2021 - November 30, 2021

Holdings

Account # Z20-256877
RONALD THOMAS MONTEZ - INDIVIDUAL

Stocks

Description	Beginning Market Value Oct 1, 2021	Quantity Nov 30, 2021	Price Per Unit Nov 30, 2021	Ending Market Value Nov 30, 2021	Total Cost Basis	Unrealized Gain/Loss Nov 30, 2021	EAI (\$) / EY (%)
Common Stock							
NAKED BRAND GROUP LTD SHS NEW ISIN #AU0000069130 SEDOL #BKWC8G5 (NAKD)	\$18.46	26.000	\$0.6111	\$15.88	\$26.50 ^t	-\$10.62	-
AMC ENTERTAINMENT HOLDINGS INC (AMC)	1,916.47	8.354	33.9400	283.53	78.66	204.87	-
ATOSSA THERAPEUTICS INC COM (ATOS)	185.20	56.812	2.2700	128.96	333.81	-204.85	-
GAMESTOP CORPORATION COM USD0.001 CLASS A(GME)	3,161.61	8.018	196.2100	1,573.21	1,454.84	118.37	-
META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 (MMAT)	35,612.51	7,044.433	3.6700	25,853.06	51,103.76	-25,250.70	-
Total Common Stock (91% of account holdings)	\$40,894.25			\$27,854.64	\$52,997.57	-\$25,142.93	-
Preferred Stock							
META MATERIALS INC PFD SER A (MMTLP)	unavailable	1,449.000	\$1.8700	\$2,709.63	\$1,619.22	\$1,090.41	-
Total Preferred Stock (9% of account holdings)	unavailable			\$2,709.63	\$1,619.22	\$1,090.41	-
Total Stocks (100% of account holdings)	\$40,894.25			\$30,564.27	\$54,616.79	-\$24,052.52	-
Total Holdings				\$30,564.50	\$54,616.79	-\$24,052.52	\$0.00

All positions held in cash account unless indicated otherwise.

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

^t Third-party provided

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